



District 9 Roundtable

PILOT PRELIMINARY ENVIRONMENTAL STUDY

Presented by Nicholas Thompson, Senior Environmental Planner Architectural Historian

Division of Local Assistance

Office of Environmental Compliance and Outreach (ECO)

August 11, 2026

ECO Team of Seniors and Student Assistants

WE ARE HERE TO HELP

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NEPA Policy &
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NEPA Policy &
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Jonny Oshalim
Project Delivery Coordinator &
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Dana Cota
Project Delivery Coordinator,
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Coordinator & Archaeologist



Amenah Siddiqui
Student Assistant



Kylie Swartz
Student Assistant

What is the PES?



Scoping tool



Questions identify potential environmental effects



Environmental 'first step' for local agencies



LPA fills out

SECTION I

Section 1: Project Information

1.1 District: 7, 1.2 County: Los Angeles, 1.3 Federal Project Number: 5351(050), 1.4 Project Name: Beverly Blvd Bridge Replacement Project

1.5 Final Environmental Clearance target date: 09/30/2026, PES received by Environmental: (For Caltrans Use Only), Amended On: (For Caltrans Use Only)

1.6 Steve Novotny (District Local Assistance Engineer), 1.7 From: City of Pico Rivera (Local Public Agency), Jim Smith (Project Manager's Name), J.Smith@pico.rivera.gov (Email Address), (660) 562-2001 (Phone Number)

1.8 Is this Project "ON" the State Highway System? No

1.9 For Emergency Relief (ER) project only. Please attach Damage Assessment Form (DAF). Is this project an Emergency Relief project? No

NOTE: the Project Description and Preliminary Design information entered on this PES must be consistent with what was approved in the FTIP. If the Project Description listed in this PES does not match what is programmed into the FTIP, your agency may not be eligible for reimbursement from FHWA. Your agency will need to either revise this project to match the FTIP or complete an FTIP amendment before the project can proceed. You can find each MPO's FTIP or your FTIP/FTIP coordinator's contact information, here: <https://dot.ca.gov/programs/financial-programming/office-of-federal-programming-data-management-of-pdm>

FTIP ID Number: 7326

Section 2: Preliminary Environmental Study Non-Infrastructure

2.1 Is the Project limited to Non-Infrastructure Activities? No

Section 3: Project Location

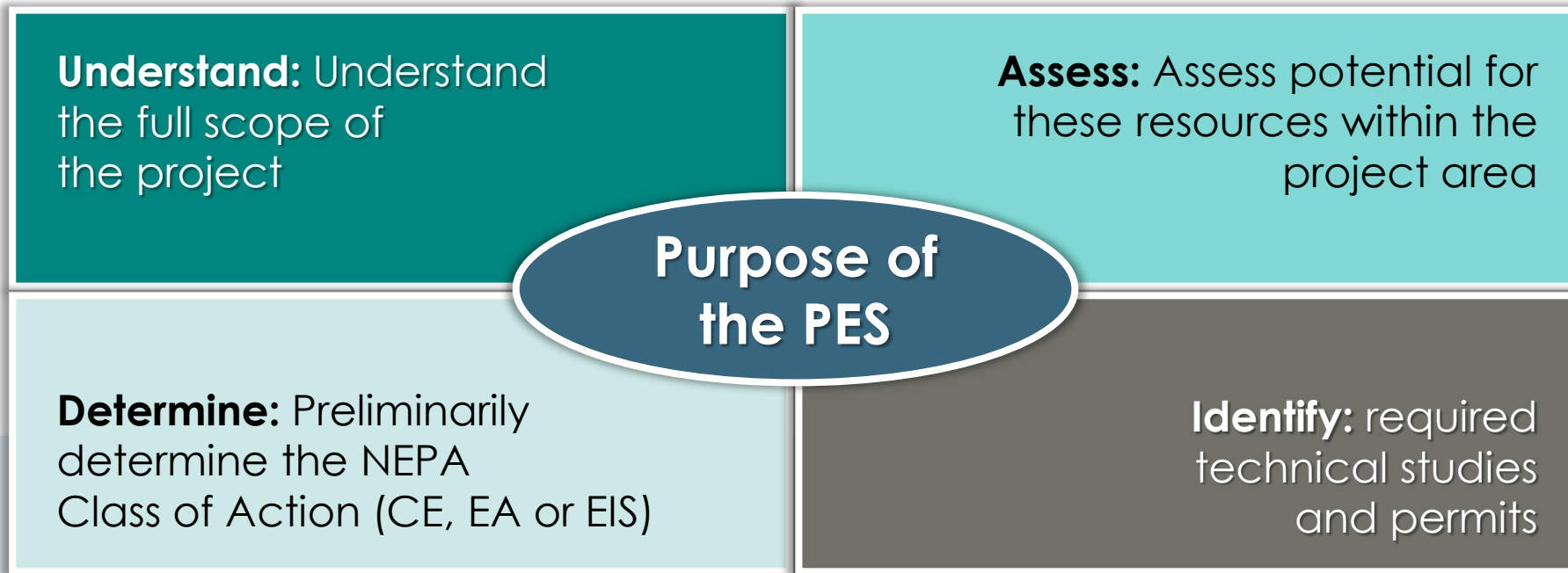
3.1 Project Location: Along Beverly Blvd and over the San Gabriel River in the City of Pico Rivera. The project is located to the West of I-605.

Section 4: Preliminary Design Information

Does the project involve any of the following? Please check the appropriate boxes and delineate on an attached map, plan, or layout including any additional pertinent information. All "Yes" answers are to be addressed in the Detailed Project Description.

Yes No	Yes No	Yes No
☒ Widen existing roadway	☒ Tree removal	☒ Easements
☒ Increase number of through lanes	☒ Road cut/fill	☒ Equipment staging
☒ New alignment	☒ Ground Disturbance/Excavation: anticipated maximum depth 30 ft	☒ Temporary access road/detour
☒ Capacity increasing - other (e.g., channelization)	☒ Drainage/culverts	☒ Utility relocation
☒ Realignment	☒ Flooding protection	☒ Right of way acquisition (if yes, attach map with APN)
☒ Ramp or street closure	☒ Stream channel work	☒ Disposal/borrow sites
☒ Bridge work	☒ Pile driving/vibration	☒ Part of larger adjacent project
☒ Vegetation removal	☒ Demolition of structures	☒ Railroad

Why Do I Need to Fill Out a PES?



The primary purpose of the PES is to establish a solid foundation for your project. By completing it, we can fully understand your project's scope and assess any potential impacts on local resources, thereby allowing DLA to determine the appropriate NEPA Class of Action and pinpoint exactly which technical studies and permits are required.

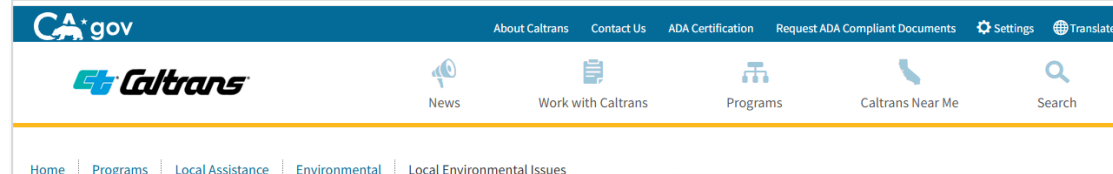
PES Instructions



Consult the instructions to complete the PES form.

PES Instructions 10/23/2024

Section	Instructions
1.1	Select your District from the Dropdown menu
1.2	Select your County from the Dropdown menu. If your project spans multiple counties, please select various.
1.3	Please place your Federal Project Number here Example: BRLS 5000(123)
1.4	Please type your Project Name here. Please include the name of the roadway that is being worked on.
1.5 PES received by Environmental	Leave this blank (Completed by Caltrans Staff)
1.5 Final Environmental Compliance Needed By	Please type the date that you would like Environmental Compliance (NEPA Completed) by. A good estimate is when you plan to move to the next phase. Note: This is just for project prioritization and does not reflect when environmental compliance will be completed.
1.5 Amended On	Leave this Blank (Completed by Caltrans Staff)
1.6	Please Type in your District Local Assistance Engineer's information. This includes their name, email address and phone number.
1.7	Please type in the information of the Local Public Agency (Example: The City of Galt), Local Public Agency's Project Manager's name, Address of the Local Public Agency, email address of the Local Public Agency's Project manager, and Phone number of the Local Public Agency's Project Manager.
1.8	Indicate if the project is on or partially on the State Highway System. Note this includes any Caltrans Right of Way. Example: If a project repaves a ramp or overcrossing that is on Caltrans' Right of Way, a project is considered on the State Highway System. Please contact your District Local Assistance Engineer to discuss how to proceed.
1.9	Indicate if the project is an Emergency Relief Project. If yes, please provide the signed Damage Assessment Form
1.10	Please indicate if the project matches the project description in the FTIP. If it does not match, please explain why. Remember if the project description does not match, this may cause delays to the project.
2.1	Indicate if the Project is a Non-Infrastructure Project. If you are unsure, check yes and if you can answer all of the following questions yes, then the project is a non-Infrastructure project. If you cannot, change this answer to No and continue to 3.1
3.1	Please provide a detailed project location. This should include project limits and the north. In addition, describe the surrounding land uses. Example: The project is surrounded by commercial development including a shopping mall to the north.
Preliminary Design Section	Check all applicable boxes and provide as much pertinent information on engineering drawings and maps as possible. If project will involve excavation, delineate location of excavation on map and indicate maximum depth of excavation. If right of way will be acquired, provide a map of the project area with the location of each parcel to be acquired. Provide Assessor Parcel Numbers for all parcels on the Right of Way map.



Local Environmental Issues

Resources and Forms

Forms

- [Pilot Preliminary Environmental Study \(PES\) Form \(PDF\)](#)
(Please DO NOT open the form in Browser. Right-click the above link and choose "save as/target as" to save the form. Then open the form with Adobe Acrobat/Reader)
- [Pilot PES Feedback Form](#)
- [Preliminary Environmental Study \(PES\) Form \(PDF\)](#)
- [PES Instruction \(PDF\)](#)
- [PES \(NI\) Form \(PDF\)](#)
- [PES Example \(PDF\)](#)
- [PES \(NI\) Form Instruction \(PDF\)](#)
- [Location Hydraulic Study Form \(Word\)](#)
- [Summary Floodplain Encroachment Report \(DOC\)](#)
- [Floodplain CALTRANS Reviewers Checklist \(DOC\)](#)
- [Floodplain Encroachment Memo \(PDF\)](#)
- [SER Forms and Templates](#)
- [Environmental Document Annotated Outline](#)

Please **DO NOT** open the form in Browser. Right-click the link and choose "save link as" or "save target as" to save the form. Then, open the form with Adobe Acrobat/Reader.

PES Sections

Section 1



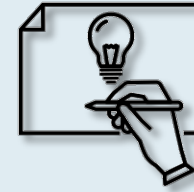
Project Information

Section 2



Preliminary
Environmental Study
Non-Infrastructure (NI)

Section 3



Preliminary Design
Information

Section 4



Detailed Project
Description

Section 5



Attachments

Section 6



Potential
Environmental Effects

Section 7



Required Technical
Studies and Analyses
(To be Completed
by Caltrans Staff)

Required Attachments



FSTIP Printout with the project highlighted

Project Maps and Views

- ✓ Regional Map
- ✓ Location Map
- ✓ Footprint (showing existing and proposed ROW)
- ✓ Engineering drawings, if available

Visual Impact Analysis (VIA) Checklist

FEMA Floodplain Map of Project Vicinity

GeoTracker Printout for Hazardous Materials

Current Photos of Project Site

Optional Attachments

Federal
Threatened and
Endangered
Species List from
USFWS



Federal
Threatened and
Endangered
Species List from
NMFS



No longer required from local agencies for every project.

PES Pilot



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JANUARY 2027

SUN	MON	TUE	WED	THU	FRI	SAT
27	28	29	30	31	1	2
3	4	5	6	7	8	9
10	11	12	13	14	15	16
17	18	19	20	21	22	23
24	25	26	27	28	29	30
31	1	2	3	4	5	6



District 9 Roundtable

NEPA REVALIDATION

Presented by Nicholas Thompson, Senior Environmental Planner, Architectural Historian

Division of Local Assistance

Office of Environmental Compliance and Outreach

August 11, 2026

Guidance and Regulations

NEPA REVALIDATION: KEY PRINCIPLES

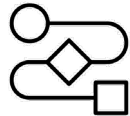
NEPA Revalidation is the formal check to ensure the existing environmental documentation is still adequate.

Definition



The determination that an environmental document or Categorical Exclusion (CE) decision remains valid or requires supplemental documentation.

Process



It is a continuation of the project development process, not a new start.

Timing



Can be performed at any time after the initial Environmental Document or CE determination is completed.

Scope



Only applicable to the extent there are Federal approvals required for the project.

Impact



Does not necessarily reopen the original NEPA Decision.

Governing Guidance and Regulations

Revalidation is governed by specific regulations and operational guidance.

Federal Regulations

- ❑ 23 CFR § 771.129
(Federal Highway Administration regulation on re-evaluation)

Joint Federal Guidance

- ❑ NEPA Re-Evaluation Joint Guidance for FHWA, FRA, FTA
(dated 8/14/2019)

State/Federal Agreements (California)

- ❑ FHWA/Caltrans NEPA Assignment MOUs for 23 U.S.C. § 326 and § 327
(Delegates NEPA responsibilities to Caltrans)

Caltrans Procedures Manuals

- ❑ Caltrans Standard Environmental Reference (SER), Chapter 33
- ❑ Caltrans Local Assistance Procedures Manual (LAPM), Chapter 6

What is Required?

Trigger Documentation



Clear documentation of conditions that trigger the re-evaluation

Environmental Changes



Documentation of the changes in environmental impacts or mitigation

Validity Documentation



Determination of whether the original environmental decision remains valid



When is Revalidation Required?



Project proceeding to next major federal approval

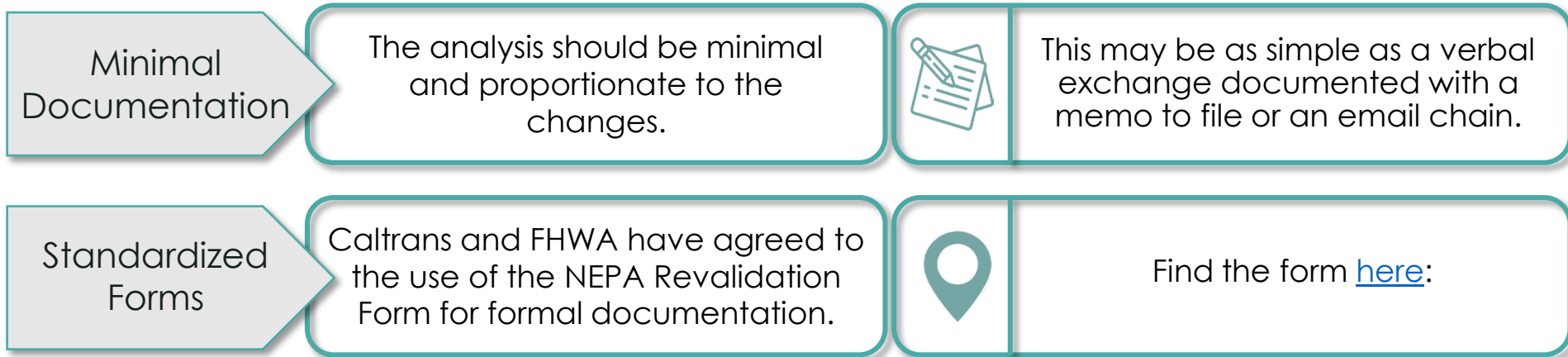


Change in scope, setting, effects, mitigation measures, requirements

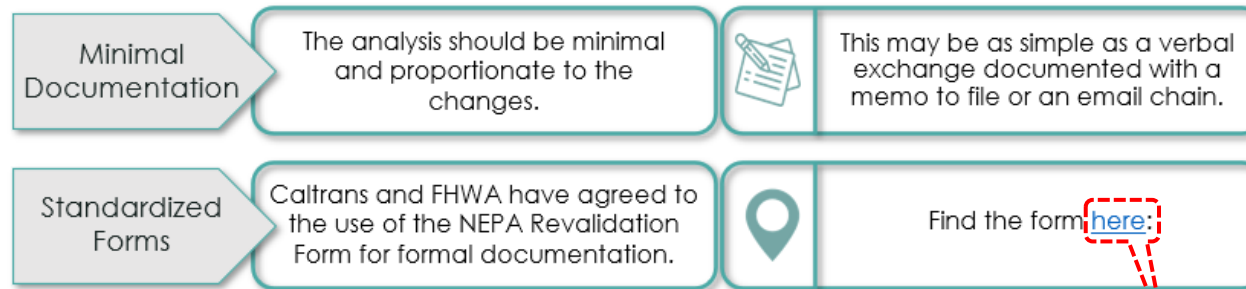


3-year timeline (EIS only)

How is Revalidation Documented?



How is Revalidation Documented?



Annotated Outlines/Re-Validation Form/Samples

- [Environmental Document Annotated Outline \(DOCX\)](#) (posted 08-14-25)
- [2025 Annotated Outline Update-Summary of Changes \(PDF\)](#) (posted 06-23-25)
- [Climate Change Annotated Outline Capacity Increasing \(DOCX\)](#) (posted 01-28-26)
- [Climate Change Annotated Outline Non-Capacity Increasing \(DOCX\)](#) (posted 01-28-26)
- [Programmatic Section 4\(f\) Evaluation \(DOCX\)](#) (posted 02-08-23)
- [NEPA/CEQA Re-Validation Form \(DOCX\)](#) (posted 08-06-25)
- [Draft EIR/EIS Distribution List Sample \(DOCX\)](#) (posted 04-27-16)
- [Final EIR/EIS Distribution List Sample \(DOCX\)](#) (posted 04-27-16)
- [Sample Environmental Study Request \(ESR\) Form \(DOCX\)](#) (posted 11-19-20)
- [FONSI Sample \(DOCX\)](#) (posted 06-02-22)
- The Title VI Policy Statements can be found on the [Caltrans Title VI website](#)

The screenshot shows the Caltrans website with the following structure:

- Header: CA.gov, About Caltrans, Contact Us, ADA Certification
- Navigation: News, Work with Caltrans
- Main Menu: Home, Programs, Environmental Analysis, Standard Environmental Reference (SER), Forms and Templates
- Section: Forms and Templates

Technical Studies/Guidance

In Closing...

CONTACTS, QUESTIONS, AND THANK YOU

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Thank You...